

Automated Testing & Verification

Intraprocedural Dataflow Analysis

Galeotti/Gorla/Rau
Saarland University

Dataflow Static Analyzers

- All tools that evaluate code directly (no code execution)
- **All** possible executions are modeled
 - Over an abstraction of the program state
 - Less precise than dynamic analysis (no concrete program executions)
 - Safer than underapproximating
- Targets “mechanical” errors (difficult to find through Testing or code inspection)
 - Memory usage errors (null dereferences, uninitialized data, double free errors)
 - Resource Leaking (locks, files, memory)
 - Vulnerabilities (buffer overruns, SQL injection)
 - API violations, private data being exposed
 - Non handled exceptions, concurrency issues (race conditions), etc.
- Property Inference
 - Specifications, invariants, resource usage

Dataflow Analysis

Motivation:

- Find interesting properties/errors
 - x is null, x is copied to y, y is a des-referenced
- Optimization
 - Execution time, memory consumption, etc
- **Guarantee of Correctness**
 - Critical Systems
 - To make sure absence of a certain kind of errors
 - Guarantees are needed for Optimizing
 - Example: eliminate dead code, move a statement outside the loop
- **Automaticity**
 - Cost Reducction
 - Unfeasiable manually

Dataflow analysis: common uses

■ For code optimization

- Detect unused variables;
- Remove dead code
- Detect frequently used expressions.
- Purity (method with no side-effects)
- Valid object dereference (avoid null checking).
- ...

■ For program understanding

- Infer the type of a function
- Obtain pre/post, invariants.
- Resource Usage
- Reverse engineering
 - Call-graph of a OO program
 - Behavioral models
 - ...

Examples

- Classical:
 - Live variable analysis, Reaching definitions, Available expressions, etc..
 - Mainly for optimization
- Safety / Program Understanding
 - Zero analysis
 - Null pointer
 - Intervals: array ranges
 - Invariants
- For further analysis:
 - Points-to analysis
 - Call graph
 - Aliasing

Available expressions

- Detect which expressions are available at each program point
- Remove redundant computations
- An expression ($x \text{ op } y$) is *available* in a given program point if for **all program executions** leading to that point
 - $x \text{ op } y$ is computed at least once
 - x e y is not redefined since the moment when $x \text{ op } y$ was computed

```
...  
{  
  int b = a + 2;  
  { a + 2 }  
  int c = b*b;  
  { a + 2, b*b }  
  int d = c + 1;  
  { a + 2, b*b, c+1 }  
  c = 4;  
  { a + 2, b*b }  
  if(b < c) b = 2;  
  else c = a+1;  
  { a + 2 }  
  return d;  
}
```

Live variable analysis

- Identify which variables are “alive” (namely, will be used later in the program)
 - x is *alive* since the moment it was defined until the **last** use or until it is redefined
- Uses:
 - Assign variables to registers
 - Remove dead code
 - Remove code linked to assigning variables no longer alive

```
...  
{ a }  
int b = a + 2;  
{ b }  
int c = b*b;  
{ c }  
int d = c + 1;  
{ d }  
c = 4;  
{ d, c }  
return d+c;  
{ }
```

Zero analysis

- Infer the value for each variable and answer
 - Is X equal to Zero?
- Uses:
 - Early bug detection
 - Division by zero
 - Null dereference
 - Constant Propagation

```
x := 8;

y := x;

z := 0;

while y > -1 do

    x := x / y;

    y := y-2;

    z := 5;
```

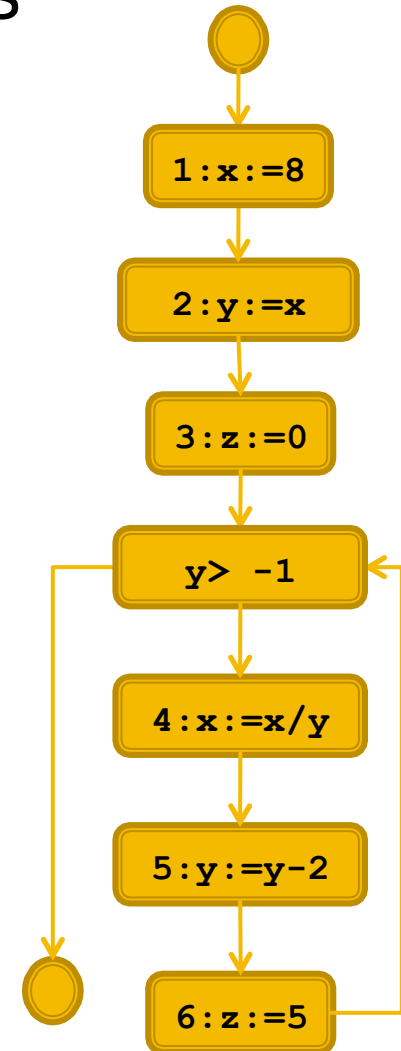

Zero analysis

- Infer the value for each variable and answer
 - Is X equal to Zero?
- Uses:
 - Early bug detection
 - Division by zero
 - Null dereference
 - Constant Propagation

```
[]  
x := 8;  
[x → NZ]  
y := x;  
[x → NZ, y → NZ]  
z := 0;  
[x → NZ, y → NZ, z → Z]  
while y > -1 do  
  [x → NZ, y → MZ, z → MZ]  
  x := x / y;  
  [x → NZ, y → MZ, z → MZ]  
  y := y - 2;  
  [x → NZ, y → MZ, z → MZ]  
  z := 5;  
  [x → NZ, y → MZ, z → NZ]
```

Dataflow Analysis

- One of the most popular static analysis techniques.
- **Purpose:** Infer **automatically** interesting properties of a program
 - Specifically, to a given program point
- **Principle:** Model execution of a program as the solution of a set of equations describing the flow of values through the program instructions.

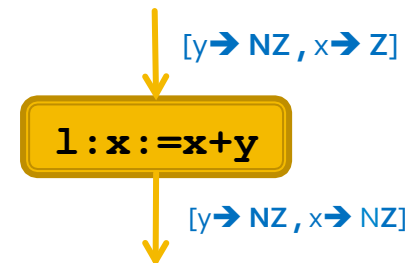
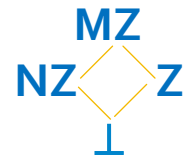


Dataflow Analysis: Intuition

- “execute” the program using abstract values.
- Collect in each program point all the information flowing to that point
 - It can give us information for each program point.
 - Which are the possible values of variable Y after executing instruction #5?
 - Can the “null” value flow towards x in any instruction?
 - It can distinguish instruction order
 - Was a file read after it was closed?
- Flow sensitive
 - Needs a control-flow graph

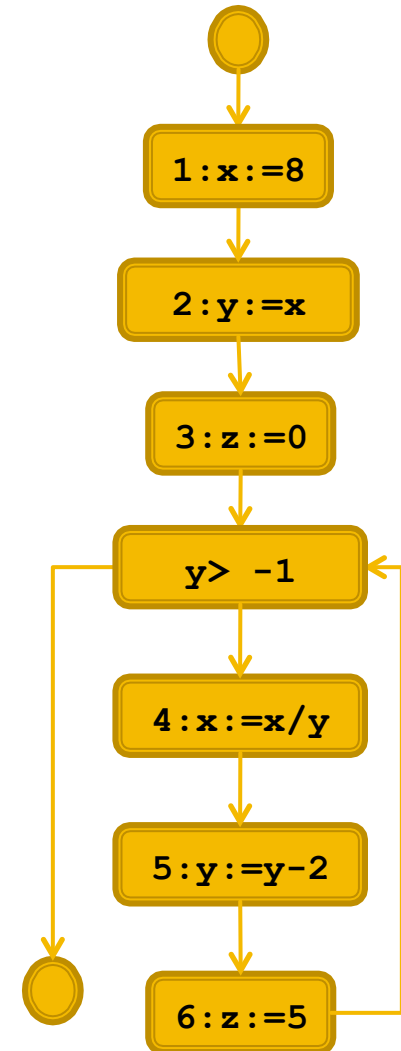
Dataflow Analysis: elements

- **Control-flow graph:** A representation of the flow of control in the program
- **Abstract values:** represent information flowing through the program
- **Transfer function:** what is the effect over the program state for every program instruction
- **Dataflow Equations:** how abstract values flow according to the control flow of the program



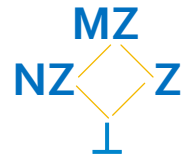
Control-Flow Graph

- Shows execution order
- Operations are usually decomposed into simpler instructions
 - Example (3-address code)
 - $a = b + c + d \Rightarrow t1 = b + c ; a = t1 + d$
- Iterative constructs are removed (while, for, repeat)
 - They are modeled as backward-edges in the control-flow graph
- Objective: to analyze a simpler operation one at a time.



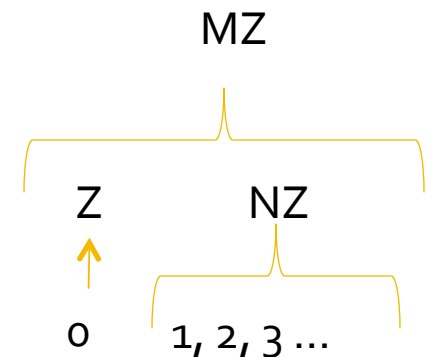
Abstract values

- Choose an abstraction according to the interesting property
 - X can be equal to Zero?
 - Was expression $a+b$ computed previously?
 - Do we need variable x at this point of the program?
 - Where does the value being assigned to x came from?
 - Is this file open?
 - Is variable x equal to null when it is de-referenced?
 - Do x and y represent the same object?
- **Key:** The abstract state must be tractable
 - Abstract values must belong to a **lattice**.
 - Typically finite lattice (at least lattice height)



Abstraction requires approximation

- Abstraction => do not handle the concrete state
 - We do not handle actual information
- Example: Natural numbers
 - $3 - 3 = 0$
 - $\text{Abs}(3) = \text{NZ}$
 - How much is $\text{NZ} - \text{NZ}$?
 - $\text{Abs}(3) = \text{NZ}$
 - $\text{Abs}(3-3) = \text{NZ} - \text{NZ} \Rightarrow \text{MZ}$

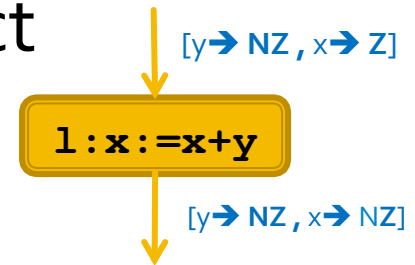


Transfer function

- Indicates the effect of each instruction on the abstract state

- Given a node (instruction) and a abstract state it creates a new abstract state

- $F_{\text{stmt}}(\sigma) = \sigma'$



- Example:

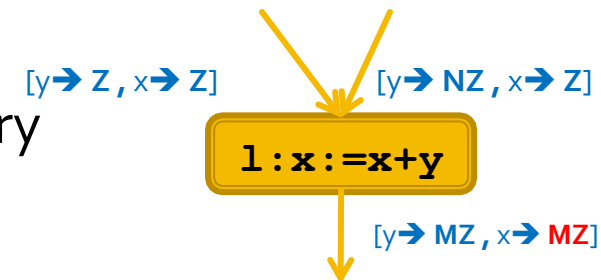
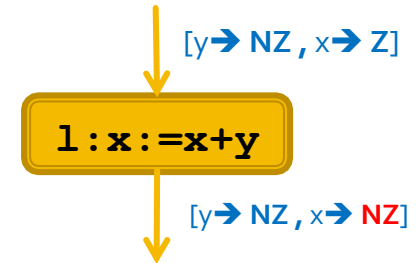
- $F_{x:=x+y}([y \rightarrow \text{NZ}, x \rightarrow \text{Z}]) = [y \rightarrow \text{NZ}, x \rightarrow \text{NZ}]$

- Some properties:

- It has to be monotonous : $x \leq y \rightarrow f(x) \leq f(y)$
 - Closed under composition ($f(f(x))$ is always defined)

Dataflow Analysis: elements

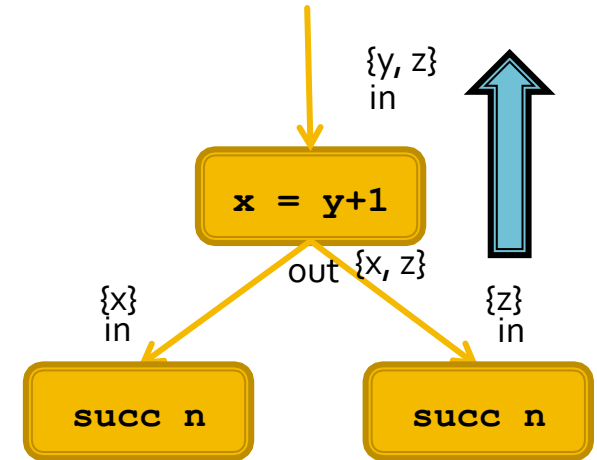
- Dataflow equations:
 - They provide how a node's output is computed given its inputs
 - In which order data flow and how it is combined
 - **Forward:** From the program entry towards its exit
 - Zero analysis, available expressions, etc
 - **Backward:** From the program exit to its entry
 - Live variables analysis
 - How to interpret the collected data
 - What to do if there are data flowing from to different nodes:
 - Apply the "upper bound"/ **MAY Analysis**
 - Apply the "lower bound"/ **MUST Analysis**



Equation examples

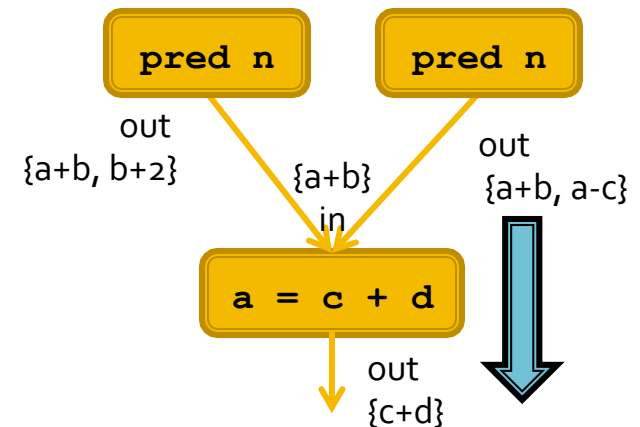
■ Live variables analysis

- $in[n], out[n]$ = set of variables
- $transfer[n](X) = gen(n) \cup (X - kill(n))$
 - $gen(n)$ = read accesses to variables in node n
 - $kill(n)$ = write accesses to variables in n
- $\oplus = \cup$ (of sets)
- $out[n] := \cup \{ in[m] \mid m \in succ(n) \}$
- $in[n] := transfer[n](out[n])$



■ Available expressions

- $in[n], out[n]$ = set of expressions
- $transfer[n](X) = gen(n) \cup (X \cap kill(n))$
 - $gen(n)$ = new expressions created
 - $kill(n)$ = exprs containing variables written by n
- $\oplus = \cap$ (of sets)
- $in[n] := \cap \{ out[m] \mid m \in pred(n) \}$
- $out[n] := transfer[n](in[n])$



Framework Dataflow

For each node n :

- $\text{in}[n]$: *abstract values* before program point n
- $\text{out}[n]$: *abstract values* after program point n
- $\text{transfer}[n]$: *operation to apply on the values flowing through node n*

For each analysis:

- \oplus : join operator (for joining several input/output values)

Direction\ \oplus	\cup (MAY)	\cap (MUST)
Forward	Given $\text{in}[n]$, compute $\text{out}[n]$ Apply $\text{transfer}[n]$ to $\text{predecessors}[n]$ Property holds in some path (reaching defs, zero analysis)	Given $\text{in}[n]$, compute $\text{out}[n]$ Apply $\text{transfer}[n]$ to $\text{predecessors}[n]$ Property holds in all paths (available expressions)
Backward	Given $\text{out}[n]$, compute $\text{in}[n]$ Apply $\text{transfer}[n]$ to $\text{successors}[n]$ Property holds in some path (live variable analysis)	Given $\text{out}[n]$, compute $\text{in}[n]$ Apply $\text{transfer}[n]$ to $\text{successors}[n]$ Property holds in all paths (very busy expressions)

Iterative algorithm

Compute $out[n]$ for each $n \in N$:

$out[n] := \perp$ (or TOP if MUST analysis)

Repeat

For each n

$in[n] := \bigoplus \{ out[m] \mid m \in pred(n) \}$

$out[n] := transfer[n](in[n])$

Until no further changes to **in/out**