

Asserting Expectations

Andreas Zeller



1

Your Submissions

- Program must behave exactly as specified (i.e., input, output, flags, etc.)
- Program must use recent Python 2 version (i.e., Python 2.6 – installed in CIP pools)
- If we have to fix your programs, *you* will lose points

2

2

Oral Exams

- Some time after the course (dates set up individually, in two clusters)

3

3

Asserting Expectations

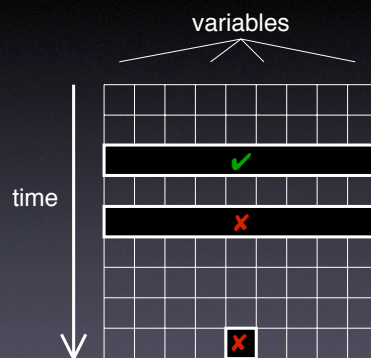
Andreas Zeller



4

Search in Time

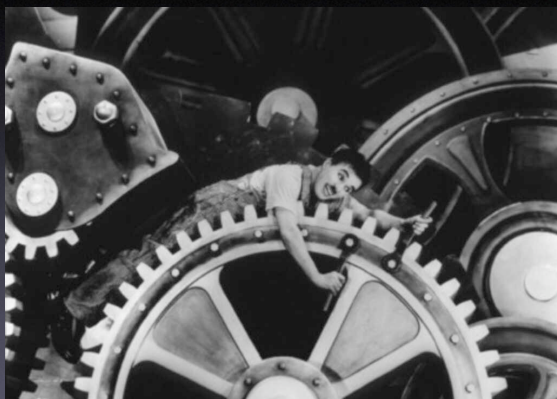
- During execution, the state becomes **infected**.
- Basic idea: Observe a *transition* from **sane** to **infected**.



5

5

Manual Observation



6

6

Automated Observation



7

7

Automated Observation

what to observe	when to observe	what to expect
---------------------------	---------------------------	--------------------------

8

8

Basic Assertions

```
if (divisor == 0) {  
    printf("Division by zero!");  
    abort();  
}
```

9

9

Specific Assertions

```
assert (divisor != 0);
```

10

10

Implementation

```
void assert (int x)
{
    if (!x)
    {
        printf("Assertion failed!\n");
        abort();
    }
}
```

11

11

Execution

```
$ my-program
Assertion failed!
Abort (core dumped)
$
```

12

12

Better Diagnostics

```
$ my-program
divide.c:37:
    assertion 'divisor != 0' failed
Abort (core dumped)
$ _
```

13

13

Assertions as Macros

```
#ifndef NDEBUG
#define assert(ex) \
((ex) ? 1 : (cerr << __FILE__ << ":" << __LINE__ \
    << ": assertion '" #ex "' failed\n", \
    abort(), 0))
#else
#define assert(x) ((void) 0)
#endif
```

14

14

Automated Observation

what to observe	when to observe	what to expect
state checked in assertion	location of assertion	checked property of program state

15

15

When to observe

- Data invariants
- Pre- and postconditions

16

16

Asserting Invariants

17

17

A Time Class

```
class Time {  
public:  
    int hour();    // 0..23  
    int minutes(); // 0..59  
    int seconds(); // 0..60 (incl. leap seconds)  
  
    void set_hour(int h);  
    ...  
}
```

Any time from 00:00:00 to 23:59:60 is valid

18

18

Ensuring Sanity

```
void Time::set_hour(int h)
{
    // precondition
    assert (0 <= hour() && hour() <= 23) &&
           (0 <= minutes() && minutes() <= 59) &&
           (0 <= seconds() && seconds() <= 60);
    ...
    // postcondition
    assert (0 <= hour() && hour() <= 23) &&
           (0 <= minutes() && minutes() <= 59) &&
           (0 <= seconds() && seconds() <= 60);
}
```

19

19

Ensuring Sanity

```
bool Time::sane()
{
    return (0 <= hour() && hour() <= 23) &&
           (0 <= minutes() && minutes() <= 59) &&
           (0 <= seconds() && seconds() <= 60);
}

void Time::set_hour(int h)
{
    assert (sane()); // precondition
    ...
    assert (sane()); // postcondition
}
```

20

20

Ensuring Sanity

```
bool Time::sane()
{
    return (0 <= hour() && hour() <= 23) &&
           (0 <= minutes() && minutes() <= 59) &&
           (0 <= seconds() && seconds() <= 60);
}
```

sane() is the *invariant* of a Time object:

- holds *before* every public method
- holds *after* every public method

21

21

Ensuring Sanity

```
bool Time::sane()
{
    return (0 <= hour() && hour() <= 23) &&
           (0 <= minutes() && minutes() <= 59) &&
           (0 <= seconds() && seconds() <= 60);
}

void Time::set_hour(int h)
{
    assert (sane());           same for set_minute(),
    ...                         set_seconds(), etc.
    assert (sane());
}
```

22

22

Locating Infections

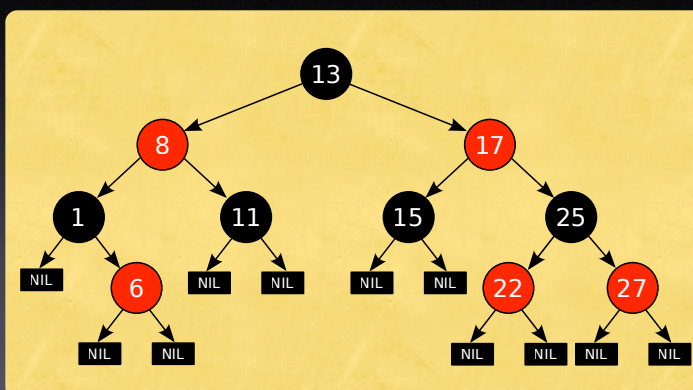
- Precondition failure = infection *before* method
- Postcondition failure = infection *within* method
- All assertions pass = no infection

```
void Time::set_hour(int h)
{
    assert (sane()); // precondition
    ...
    assert (sane()); // postcondition
}
```

23

23

Complex Invariants



24

24

[http://
en.wikipedia.org/wiki/
Red-black_tree](http://en.wikipedia.org/wiki/Red-black_tree)

Complex Invariants

```
class RedBlackTree {
    ...
    boolean sane() {
        assert (rootHasNoParent());
        assert (rootIsBlack());
        assert (redNodesHaveOnlyBlackChildren());
        assert (equalNumberOfBlackNodesOnSubtrees());
        assert (treeIsAcyclic());
        assert (parentsAreConsistent());

        return true;
    }
}
```

25

25

Deletion

```
void
delete_one_child(struct node *p)
{
    /*
     * Precondition: p has at most one non-null child.
     */
    struct node *child = is_leaf(p->right) ? p->left : p->right;
    replace_node(p, child);
    if (p->color == BLACK) {
        if (child->color == RED)
            child->color = BLACK;
        else {
            /* delete_case1(child): */
            struct node *n = child;

            /* this loop performs tail recursion on delete_case1(n) */
            for (;;) {
                /* delete_case1(n): */
                if (n->parent != NULL) {
                    /* delete_case2(n): */

                    struct node *s;
                    s = sibling(n);
                    if (s->color == RED) {
                        n->parent->color = RED;

```

26

[http://
en.wikipedia.org/wiki/
Red-black_tree](http://en.wikipedia.org/wiki/Red-black_tree)

Invariants as Aspects

```
public aspect RedBlackTreeSanity {
    pointcut modify():
        call(void RedBlackTree.add*(..)) ||
        call(void RedBlackTree.del*(..));

    before(): modify() {
        assert (sane());
    }

    after(): modify() {
        assert (sane());
    }
}
```

27

27

Invariants in GDB

```
(gdb) break 'Time::set_hour(int)' if !sane()  
Breakpoint 3 at 0x2dcf: file Time.C, line 45.  
(gdb) _
```

28

28

Asserting Correctness

29

29

Postconditions

```
def divide(dividend, divisor):  
    # Actual computation goes here  
    ...  
    assert quotient * divisor + remainder == dividend  
    return (quotient, remainder)
```

30

30

Postconditions

```
void Time::set_hour(int h)
{
    // Actual code goes here

    assert (hour() == h); // postcondition
}
```

31

31

Postconditions

```
void Sequence::sort()
{
    // Actual code goes here

    assert (is_sorted());
}
```

helper function

32

32

Postconditions

```
void Container::insert(Item x)
{
    // Actual code goes here

    assert (has(x));
}
```

a helper function that is also a useful public method

33

33

Postconditions

```
void Heap::merge(Heap another_heap)
{
    assert (sane());
    assert (another_heap.sane());

    // Actual code goes here

    assert (sane());
}
```

Invariants are always part of pre- and postconditions

34

34

Checking Earlier State

```
void Time::set_hour(int h)
{
    int old_minutes = minutes();
    int old_seconds = seconds();
    assert (sane());

    // Actual code goes here

    assert (sane());
    assert (hour() == h);
    assert (minutes() == old_minutes &&
            seconds() == old_seconds);
}
```

35

35

Contracts

```
set_hour (h: INTEGER) is
    -- Set the hour from 'h'
    require
        sane_h: 0 <= h and h <= 23
    ensure
        hour_set: hour = h
        minute_unchanged: minutes = old minutes
        second_unchanged: seconds = old seconds
```

This *contract* specifies interface properties

36

36

Z Invariant

Date

$hours, minutes, seconds : \mathbb{N}$

$0 \leq hours \leq 23$
 $0 \leq minutes \leq 59$
 $0 \leq seconds \leq 59$

seconds can be 60!
Make a point about
errors in specs

37

37

Z Conditions

set_hour

$\Delta Date$
 $h? : \mathbb{N}$

$0 \leq h? \leq 23$
 $hours' = h?$
 $minutes' = minutes$
 $seconds' = seconds$

38

38

Spec vs Code

Contracts

```

set_hour(h: INTEGER) is
  -- Set the hour from 'h'
  require
    sane_h: 0 <= h and h <= 23
  ensure
    hour_set: hour = h
    minute_unchanged: minutes = old minutes
    second_unchanged: seconds = old seconds
    
```

This contract specifies interface properties

39

Z Conditions

set_hour

$\Delta Date$
 $h? : \mathbb{N}$
 $0 \leq h? \leq 23$
 $hours' = h?$
 $minutes' = minutes$
 $seconds' = seconds$

39

Integrated spec
limited to language

Separate spec
can express anything

39

39

JML

```
/*@ requires 0 <= h && h <= 23
   @ ensures hours() == h &&
   @         minutes() == \old(minutes()) &&
   @         seconds() == \old(seconds())
   @*/
void Time::set_hour(int h) ...
```

Translated into run-time assertions

40

Developed by Gary
Leavens et al, now a
cooperative effort of
dozens of researchers

40

JML as Spec

```
/*@ requires x >= 0.0;
   @ ensures JMLDouble
   @         .approximatelyEqualTo
   @         (x, \result * \result, eps);
   @*/
```

What does this specify?

41

41

```
public class Purse {
    final int MAX_BALANCE;
    int balance;
    //@ invariant 0 <= balance && balance <= MAX_BALANCE;

    byte[] pin;
    //@ invariant pin != null && pin.length == 4 &&
    @           (\forall int i; 0 <= i && i < 4;
    @           0 <= byte[i] && byte[i] <= 9)
    @*/

    //@ requires amount >= 0;
    @ assignable balance;
    @ ensures balance == \old(balance) - amount &&
    @         \result == balance;
    @ signals (PurseException) balance == \old(balance);
    @*/
    int debit(int amount) throws PurseException { ... }
```

42

42

More use of JML

- Documentation
- Unit testing with JMLUnit
- Invariant generation with DAIKON
- Static checking with ESC/Java
- Verification with theorem provers

43

43

Relative Debugging

Rather than checking a spec, we can also compare against a *reference run*:

- The environment has changed—e.g. ports or new interpreters
- The code has changed
- The program has been reimplemented

44

44

Relative Assertions

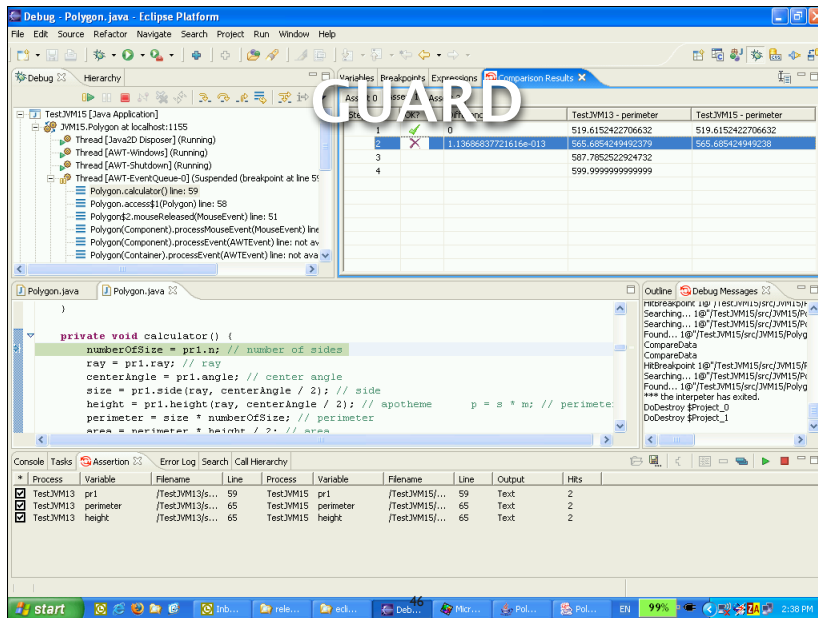
- We compare two program runs
- A *relative assertion* compares variable values across the two runs:

```
assert \  
p1::perimeter@polygon.java:65 == \  
p0::perimeter@polygon.java:65
```

- Specifies when and what to compare

45

45



46

Concepts

- ★ Assertions catch infections before they propagate too far
- ★ Assertions check preconditions, postconditions and invariants
- ★ Assertions can serve as specifications
- ★ A program can serve as reference to be compared against

47

47

This work is licensed under the Creative Commons Attribution License. To view a copy of this license, visit

<http://creativecommons.org/licenses/by/1.0>

or send a letter to Creative Commons, 559 Abbott Way, Stanford, California 94305, USA.

48

48