

# Three Lectures on Requirements Engineering

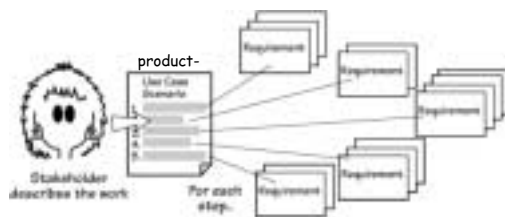
Dr. Frank Padberg  
May, 2009

## Lecture 3

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2

### Where are we now?

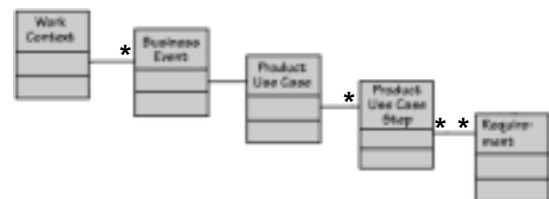


we are still in Step 2, trawling the product scenarios for requirements...

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### Recall: From work context to requirements



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### Recall: Functional requirements

Functional requirements describe **what the product must do** to carry out the work for which it is intended. They are independent of any technology used by the product.

⇒ The product shall record the new weather stations.

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5



### Quality Requirements

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## More requirements

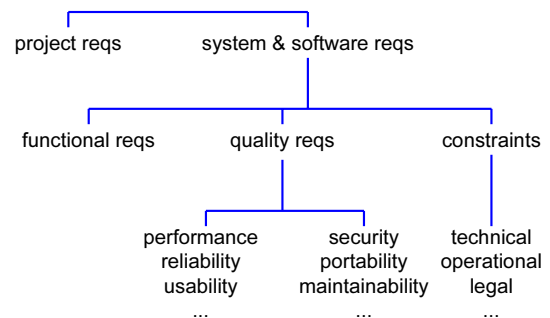
- software always has to meet a certain quality level; f.e., think of throughput or usability
- software may also have to comply with certain technological or legal constraints
- such properties are *additional requirements* – the qualities that the product and its functions must have

such reqs are often called "non-functional," but this is a nonsense term...

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## A requirements hierarchy



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## Scope of quality requirements

quality reqs have different scopes:

- some apply to a particular functional req
- some apply to a use case as a whole
- some apply to the entire product

the quality reqs often are crucial for the success of the product

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9

## Trawling for quality reqs (1)

- quality issues naturally come up when trawling for functional requirements
- hence, quality reqs get collected "over time" during analysis
- in addition, the analyst must ask specific quality-related questions when discussing product use cases and functional reqs
- use a checklist of quality categories (see, f.e., Robertson p. 175)

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10

## Trawling for quality reqs (2)

- when talking to users of the product, ask for
  - usability – look and feel – security – cultural reqs
- when talking about the operating environment of the product, ask for
  - performance – interface – maintainability – security reqs
- when talking to managers and marketing people, ask for
  - look and feel – political – security – legal reqs

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11

## Ex: A functional requirement

### Produce road de-icing schedule

6. Product schedules available trucks from the relevant depots.
7. Product advises the engineer of the schedule.

this product use case scenario will give a functional requirement:

⇒ The product shall produce a de-icing schedule showing the roads to be treated and the trucks allocated to them.

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12

### Ex: A usability requirement

- for sure, the stakeholders want the schedule to enable an engineer to *easily* direct the trucks to the correct roads
- this gives a usability requirement:

⇒ The product shall produce a schedule that is easy to read.

### Ex: Another usability requirement

- when thinking about the targeted users of the product, more usability reqs come up
- f.e., the customer tells you that they often employ new junior engineers
- this gives another usability requirement:

⇒ The product shall be intuitive to use.

- but how to make sure that this property has been achieved?

### Fit criteria

- to clarify a quality req, it helps to establish a **fit criterion** that will measure whether the software meets the req or not

⇒ The product shall be intuitive to use.

**Criterion:** A new engineer shall be able to produce a correct de-icing forecast within 10 mins of encountering the product for the first time without reference to any out-of-product help.

- conformance could be tested in a user study

### Ex: Look and feel requirements

⇒ The product shall conform to the established look and feel of the organization's products.

**Criterion:** Sixty percent of the target audience will recognize the product as belonging to the corporation within 5 secs of encountering it for the first time.

note that this req does *not* say the company logo must be prominent, nor does it talk about the colors to be used; simply conform to whatever the standards are

### Ex: Performance requirements

⇒ The product shall accommodate the largest geographical area of any road authority in the UK.

again, a fit criterion should be added  
alternatively, when specifying numbers a **rationale** should explain the numbers:

⇒ The product shall have the capacity for 5000 roads.

**Rationale:** The maximum number of roads in the area of any potential customer for the product.

### Ex: Performance fit criteria

⇒ The product must produce the road de-icing schedule in an acceptable time.

gets clearer when thinking about how **failure** of this req could be measured:

⇒ The product must produce the road de-icing....

**Criterion:** The road de-icing schedule shall be available to the engineer within 15 secs from making his request for 90 percent of the times. It shall never take longer than 20 secs.

## Ex: Maintainability requirements

⇒ The product shall enable the addition of new road authority areas within two days.

already contains its fit criterion; conformance could be tested in a user study

## Ex: Legal requirements

⇒ The product shall comply with ISO 93.080.99.

legal reqs often lead to additional *functional* reqs, f.e.:

⇒ The product shall produce an audit report of all road schedules and their subsequent treatments.

⇒ The product shall retain all ice predictions for all occasions the schedule is run.

## Ex: Operational constraints

⇒ The product shall interface with the DM31 weather stations.

Criterion: The interfaces to the Rosa Weather Station shall be certified as complying with the National Transportation Communication ITS Protocol (NTC/IP).

again, this constraint will lead to additional functional reqs, such as establishing a radio network connection, matching data formats ...

## Ex: Project constraints

time...

⇒ The product must be available at the beginning of the new tax year.

...and money

⇒ The budget for building the product is £500,000.

## Volere Step 2d: Modeling the Data



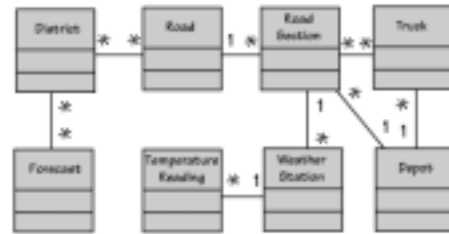
## Business Data

- during analysis, model **only business data**
- business data objects naturally occur in the stakeholder's process descriptions
- model the **statics** – business data objects and their logical relationships
- **caution:** it is tempting, but doesn't make much sense to specify "operations" for data objects during analysis – operations are *design* information

## Variety of data diagrams

- long-standing:
  - entity-relationship diagram (ERD)
  - statechart
  - state transition table
- with UML:
  - class diagram
  - state diagram

## Ex: Business objects as a class diagram



a simple UML class diagram that shows key **business data** objects and their relationships

## Ex: Functional reqs from data

- when talking about the business data objects, additional reqs naturally come up
- f.e., business data often must be stored in the product – a functional req:

⇒ The product shall record air-temperature readings, humidity readings, precipitation readings, and road-temperature readings received from weather stations.

engl. *precipitation*: Niederschlagsmenge

## Ex: Quality reqs from data

- data often lead to additional quality reqs
- f.e., performance reqs:

⇒ The product shall record data transmitted from a weather station within half a second.

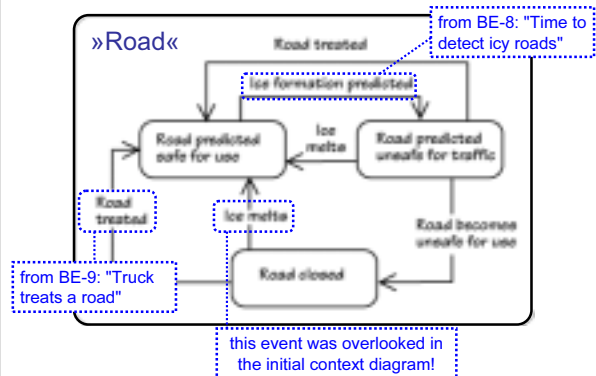
- f.e., security reqs:

⇒ The product shall ensure that data recorded from a weather station cannot be altered, except by a supervising engineer.

## State diagrams

- show the states of an object, plus the events that make the object move to its next state
- here: business data object and business events
- often helps to identify additional requirements
- **caution**: state diagrams have a tendency of getting too close to design...

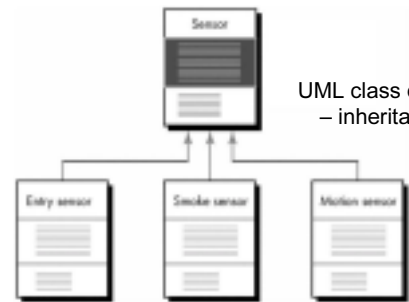
## Ex: Business object states





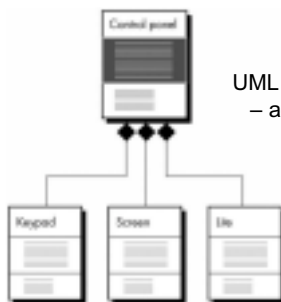
## Cross-check against Pressman's Book

## SafeHome: Sensor data model



UML class diagram – inheritance –

## SafeHome: ControlPanel data model

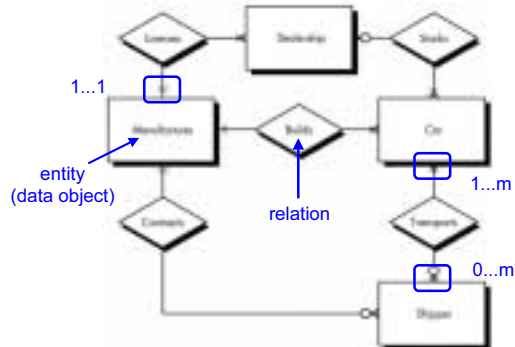


UML class diagram – aggregation –

## Entity-relationship diagram (ERD)

- originally invented in the 70s for the design of relational databases
- shows data objects, attributes, relationships, and cardinalities
- is the point of origin of the OO class diagrams of various sorts

## Sample ERD



## SafeHome: Multiple relationships



simple ERD form where relationships are not drawn as diamonds but appear as labels at the arrows; it almost looks like a UML class diagram...

## Data dictionary

- an organized listing of all data elements that are pertinent to the system
- gives precise, rigorous definitions
  - inputs and outputs (information flows)
  - component of stores
- may even elaborate on intermediate data calculations
- invented in the late 80s

engl. *pertinent*: gehörig

## Sample data dictionary entry

Name:	telephone number
Aliases:	phone number, number
Where/how used:	read-phone-number (input) display-phone-number (output) analyze-long-distance-calls (input)
Description:	telephone no. = (local extension   outside no.   0   outside no. = 0 => area code   domestic no.) service code = (211   411   811   911) domestic no. = ((0   * area code   * local number area code = "three numerical designator")
Format:	alphanumeric data

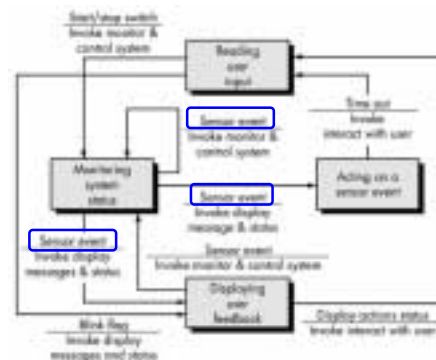
Pressman (5<sup>th</sup>) p. 330

## Ex: Data dictionary entry for weather station reading

weather station reading =

- + weather station identifier
- + temperature
- + moisture content of road surface
- + date and time

## SafeHome: State diagram

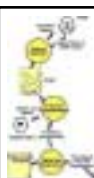


Pressman (5<sup>th</sup>) p. 326

## SafeHome state diagram – comments

- the state diagram shows the behavior of the *whole* product and should be read as an alternative to the DFD's shown earlier
- strange things occur:
  - all transitions from the monitoring state are triggered by the *same* event...
  - such a sensor event is the *only* way to return to user input...

## Volere Step 3: Writing the Requirements



## Writing individual requirements

- is actually done during trawling
- the individual reqs must be written down in a **structured form** ("formalized")
- helps with bookkeeping
- helps **avoid defects**, such as reqs that are incomplete or not traceable to a use case
- uses a **template**, in paper form or electronic form

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43

## Volere »Shell«

Requirement #: _____	Requirement Type: _____	Event/Use Case #: _____
Description: _____		
Rationale: _____		
Originator: _____		
Fit Criterion: _____		
Customer Satisfaction: _____	Customer Dissatisfaction: _____	Conflicts: _____
Priority: _____	Supporting Materials: _____	
History: _____	_____	

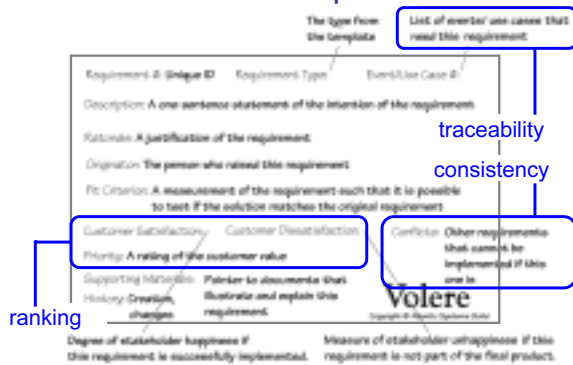
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an index card with pre-printed structure for writing **one** req

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44

## Volere shell explanation



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45

## Conflicting requirements

- one particular req can make another one less feasible; for example:

⇒ The product shall compute the **shortest** route to each destination.

⇒ The product shall compute the **quickest** route to each destination.

- nothing unusual when asking different people
- must be resolved, with the client

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46

## Ex: Functional req in a shell

Requirement #: 75	Requirement Type: D	Event/Use Case #: 7, 8
Description: The product shall record all the roads that have been treated.		
Rationale: To be able to schedule untreated roads and highlight potential danger.		
Originator: Arnold Bross, Chief Engineer		
Fit Criterion: The recorded treated and untreated roads shall agree with the drivers' road treatment logs.		
Customer Satisfaction: 3	Customer Dissatisfaction: 5	Conflicts: _____
Priority: _____	Supporting Materials: _____	
History: Created February 28, 2006	_____	

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47

## Ex: Quality req in a shell

Requirement #: 110	Requirement Type: B	Event/Use Case #: 6
Description: The product shall be easy for the road engineers to use.		
Rationale: It should not be necessary for the engineers to attend training classes so be able to use the product.		
Originator: Soela Henning, Road Engineering Supervisor		
Fit Criterion: A road engineer shall be able to use the product to successfully carry out the cited use cases within 1 hour of first encountering the product.		
Customer Satisfaction: 3	Customer Dissatisfaction: 5	Conflicts: None
Priority: <b>Need release</b>	Supporting Materials: _____	
History: Released by AG, 25 Aug 05.	_____	

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48

## »The spec«

- a **document** that contains all requirements
- **SRS** = Software Requirements Specification
- must contain clear, complete, and testable instructions about what to build
- is the basis for the contract with the client
- is clearly structured – uses some sort of **template**
- can be paper, but is often stored using a software tool for reqs management

## Writing the spec

this means:

**assembling all the analysis results**

- blastoff deliverables – project purpose, constraints, work context diagram
- trawling results – product use case diagram, product scenarios and process diagrams, data models, functional reqs and quality reqs
- think of this as *building*, not writing

## Volere »Reqs Spec Template«

### Project Drivers

- Purpose of the project ✓
- Client, customer, and other stakeholders ✓
- Users of the product ✓

### Project Constraints

- Mandated constraints
- Naming conventions and definitions
- Relevant facts and assumptions

### Functional Requirements

- The scope of the work ✓
- The scope of the product ✓
- Functional and data reqs ✓

### Nonfunctional Requirements

- Look and feel reqs ✓
- Usability and humanity reqs ✓
- Performance reqs ✓
- Operational and environmental reqs ✓
- Maintainability and support reqs ✓
- Security reqs
- Cultural and political reqs
- Legal reqs ✓

### Project Issues

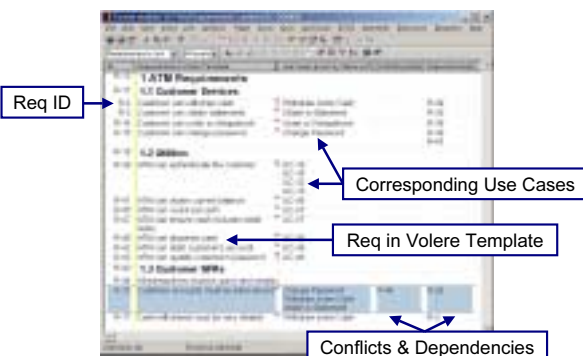
- .... [f.e., risks; cost; solution ideas]
- ....

## IEEE Guide to SRS

- Introduction
    - Purpose
    - Scope
    - Definitions, Acronyms, and Abbreviations
    - References
    - Overview
  - General Description
    - Product Perspective
    - Product Functions
    - User Characteristics
    - General Constraints
    - Assumptions and Dependencies
  - Specific Requirements
    - Functional Reqs [organized by req id]
    - External Interface Reqs [UI, HW, SW]
    - Performance Reqs
    - Design Constraints
    - Attributes [security, maintainability...]
    - Other Reqs [data base, operations...]
- Appendixes  
Index

(ANSI/IEEE Standard 830-1984, resp., -1998)

## Telelogic DOORS



## A word on tools

"Despite the claims of some vendors, there is no requirements tool that can actually interview a user or determine what your client really needs."

(Robertson, p. 348)

## Where are we now?



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55



## Volere Step 4: Quality Gateway

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56

## Quality gateway

- is the gateway into the specification
- each potential req must be tested before it enters the spec
- that is, we are talking about the **quality of the reqs** and spec itself
- can be (and should be) done **incrementally**, whenever the analysis of a use case is completed

engl. gateway: Einfahrt, Tor

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57

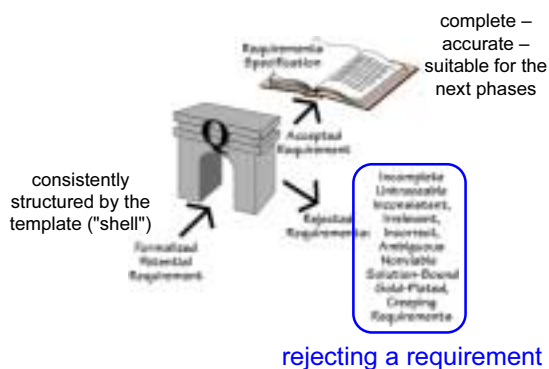
## Why test requirements?

recall that most defects originate in requirements or design, and are expensive to correct downstream

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58

## The gateway



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59

## Completeness and consistency

- completeness and traceability of reqs to business use cases should be ensured by using the template (or reqs tool)
- but better check again...
- for consistency, check that terms are used consistently and match their definition given in the glossary



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60

## Ex: Ambiguity (1)

⇒ The product shall show the weather for a day.

*a somewhat ambiguous requirement:*

- its meaning depends on the context within the spec
- forecast for the next 24 hours, or until the end of this day?
- could easily be specified more precisely

## Ex: Ambiguity (2)

⇒ The product shall communicate all roads predicted to freeze.

*another somewhat ambiguous requirement:*

- its meaning depends on the context within the spec
- every road known to the product, or just the set of roads currently examined by the user?
- it helps to group reqs by product use case
- but beware of "overspecifying" – this can make specs really hard to read; recall that you are just giving a *description* of the req

## Ex: Relevance (1)

⇒ The product shall maintain a lookup table of the times of sunrise and sunset throughout the year.

*an irrelevant requirement:*

- the temperature of the road surface matters, not day or night
- discard this req

## Ex: Relevance (2)

⇒ The product shall record the durations of truck activity.

*looks irrelevant, but actually is not:*

- de-icing trucks may not be scheduled for more than 20 hours out of each 24-hour period
- hence, this req *indirectly* contributes to the project goal
- this rationale should be given in the template

## Ex: Not solutions

⇒ The product shall **beep** and put a **flashing message** on the screen if a weather station fails to transmit readings.

*a badly shaped functional requirement:*

- it contains the means of implementation
- it consigns the alert message to a screen
- there might be better solutions for the alert (e-mail, diagnostic application, telephone)

⇒ The product shall **issue an alert** if a weather station fails to transmit readings.

*better!*

## Not solutions – more examples (1)

⇒ The product shall **require a password** to access account data.

*a badly shaped security requirement:*

- again, it contains the means of implementation
- it adopts the current standard solution to access control even if better solutions come up

⇒ The product shall ensure that account data can be **accessed only by authorized users**.

*better!*

## Not solutions – more examples (2)

⇒ The product shall **use a mouse**.

*a badly shaped usability requirement:*

- it contains the means of implementation
- changing "mouse" to "pointing device" is no better

⇒ The product shall allow the user to **directly manipulate** all interface items.

*better!*

express any req in its essential form, leaving the designer free to choose the best way to implement it

## Ex: Viability

⇒ The truck drivers shall receive weather forecasts and schedule their own de-icing.

*an inviable requirement:*

- truck drivers cannot predict when a road will freeze and do not know which roads have been treated
- contradicts the constraint "targeted user group"
- discard this req
- other common reasons for inviability:
  - lack of technological skills to build the req
  - lack of resources to build the req
  - lack of acceptance among the stakeholders

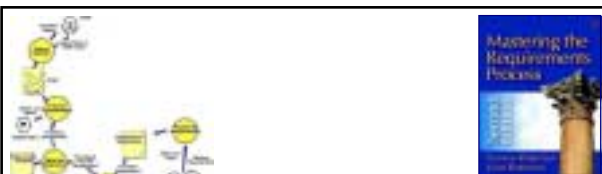
## Other common reqs problems

- *gold plating:*  
features that contribute more to the cost of a software than to its usefulness
- *creep:*  
any reqs that enter the spec after it has been considered complete
- *leakage:*  
unrecognized and uncontrolled creep

## Implementing the gateway

- try to keep it "lean"
  - often done by one analyst, supported by one tester
  - may involve a stakeholder
  - reqs are marked off when approved
- formal reviews may be needed for complex, technical subject matters

engl. to mark off: abhaken



## Step 4+: Reviewing the Specification

## Volere RE process loop



## Quality of the specification

- we need a quality gateway for the spec as a whole
- the gateway must be in the main RE process loop – *before* entering design and build
- the spec must be correct and complete (w/t the current release or iteration)
- the spec must be suitable for its purpose (which is to serve as a basis for the design)

requirements validation

## Reviewing the spec

- spans from informal reviews to full, formal software inspections
- review a small pack of use cases at a time – this is an ongoing activity
- check for
  - conflicting reqs
  - missing reqs
  - more quality reqs
  - inconsistent diagrams
  - incomplete data handling
  - risky and costly reqs

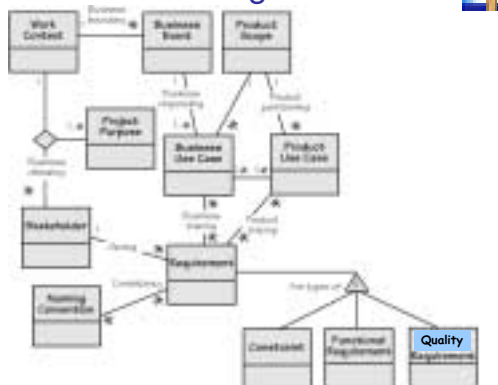
connects to software quality assurance, cost estimation, and risk analysis

## Wrap-up

## From work scope to specification



## Volere knowledge model



## Tools

- mostly "low-tech paper"
    - scenario template
    - reqs shell
    - reqs specification template
    - [paper prototypes]
  - some software tools
    - diagramming tools
    - reqs management tools
- recall that low-tech is a good thing when trawling for reqs

## The Volere RE process



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79

## RE »Leftovers«

- low-tech analysis prototypes
  - reuse of requirements
  - prioritizing the requirements
- 
- inspections for specifications
  - requirements change management
  - cost estimation
- 
- transition to design

see



see the upcoming lectures

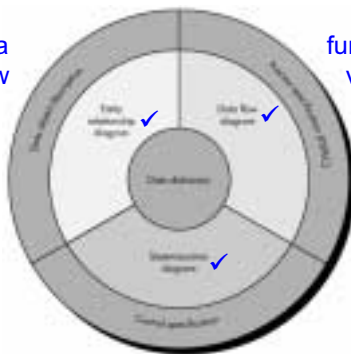
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80

## Elements of the analysis model

data view

function view



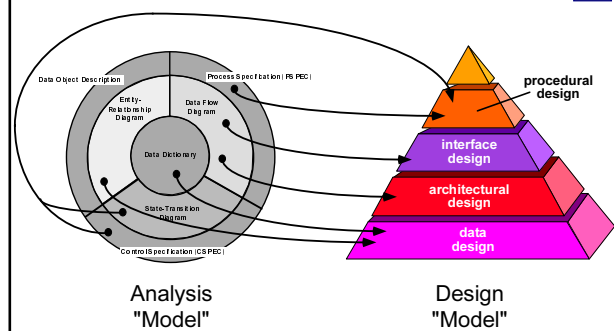
behavior view

Pressman (5<sup>th</sup>) p. 301

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81

## Analysis maps to design



Analysis "Model"

Design "Model"

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Pressman (5<sup>th</sup>) p. 337

82

## RE – reaching out...

Project Management  
(Cost Estimation & Risk Analysis)

Design  
(Architecture & High-Level Design)

Agile Methods

Formal Specification

Testing  
(Acceptance & Unit)

Object-Oriented Methods

RE

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83

End of the Lectures

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84